# Intrusion Detection with Genetic Algorithms and Fuzzy Logic

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December 7, 2013 UMM CSci Senior Seminar Conference



# The Big Picture

- Computer lab gets large numbers of login attempts that are attempts at intrusion.
  - Trying to gain root access to the system
  - Delete files of users and change user passwords.
- An attempt is an attack if there are more than *n* number of attempts within *t* time interval.
- Number of login attempts (for failed passwords or for users that don't exist) over period of 6 days (12/1 12/6):
  - Lab box (avenger): 1,834 attacks
  - Lab box (kenshiro): 1,887 attacks

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# The Big Picture

- With intrusion detection system (IDS): classify attempts.
- Intrusion detection systems provide a way of detecting attacks by monitoring network activities for malicious or abnormal behaviors then producing reports, alerts, actions.
- Training an IDS: use a fuzzy genetic algorithm.

# Outline



- 2 Fuzzy Classification
- 3 Genetic Algorithms
- Experiments and Results
- 5 Conclusions

# Outline

### Intrusion Detection

- Types of Networking Attacks
- Detection Methodologies
- Data Sets KDD99 and RLD09
- Determining the Accuracy of an Algorithm

# Puzzy Classification

- 3 Genetic Algorithms
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# Types of Networking Attacks

- Denial of Service (DoS) makes machine inaccessible to user by making it too busy to serve legitimate requests.
  - Systems lockout user from account after failed login attempts.
  - Use this to prevent users from logging in, by failing to log in enough times to lock account.

- Probe examines machine to collect info about weaknesses, could be used to compromise system.
  - Trying to determine what version of software is being run, if that version has known issue, it allows attacker to attempt to attack that.

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# **Detection Methodologies**

- Signature-based detection: compares well-known patterns of attacks that are already known to IDS against captured events in order to identify possible attacks.
  - Simple and effective way to detect known attacks, ineffective against new kinds of unknown attacks.
- Anomaly-based detection: looks for patterns of activity that are rare and uncommon.
  - Harder to do than signature-based detection, can be an effective way to detect new, unknown attacks.



### KDD99

- Generated by simulating a military network environment in 1999.
- Has long been a standard data set for intrusion detection.
- Data was processed into 5 million records.
  - A record is a sequence of TCP packets, between which data flows to and from a source IP address to a target IP address.
- Each record: classified as either normal or attack.

### Features of KDD99

- KDD99 uses 41 *features* properties of record that are used to describe activity, help to distinguish normal connections from attacks.
- duration: length of the record in seconds.
- num\_failed\_logins: number of failed login attempts.
- root\_shell: returns 1 if root shell is obtained, else returns 0.

### RLD09

- RLD09: created because KDD99 was 10 years old, newer attack types not in KDD99 because of age.
- Data was captured from university in Bangkok, Thailand.
- Has normal network activity, and 17 different types of attacks

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### **Rules**

- A commonly used approach for detecting intrusions is to use rules.
- If-Then format: If (condition) then (consequence).
  - Condition: one or more features
  - Consequence: says if it is an intrusion or not.
  - If duration  $\leq$  4 then intrusion.

# Training and Testing Sets

- Algorithm used runs risk of memorizing the data in training set, so important to keep some data separate, as unseen data for testing.
- Divide data set into 2 subsets: *training set* and *test set*.
- The given algorithm is trained on the training set to look for patterns.
- These patterns are then verified using the test set.

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### Determining the Accuracy of an Algorithm

Predicted							
Actual	Not Attack	Attack					
Not Attack	True Negative (TN)	False Positive (FP)					
Attack	False Negative (FN)	True Positive (TP)					

Detection rate (DR): percentage of normal and attack activity correctly classified from the total number of data records.

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### Intrusion Detection

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- **Fuzzy Classification**
- Fuzzy Logic
- Finding the Degree of Certainty
- Encoding of Features and Rules
- 3 Genetic Algorithms
- Experiments and Results

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- Fuzzy logic: used in intrusion detection systems to find degree of certainty of a record being attack.
  - If it's not clear if the activity is an attack, fuzzy logic says where on a spectrum it is and how certain it is of being an attack.
- Fuzzy logic rules: similar to rules described before, except that consequence is certainty factor.
  - If (*duration* = 6.2) then (*the degree of certainty of the record being an attack is 0.8*).



# Trapezoidal Shape

- Used to decide how certain a record is of being an attack.
- Described with 4 numbers that are used to determine what the trapezoid looks like.



Certain in middle, not as certain in triangle areas.

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Suppose that the feature is duration, and it is 6.2 seconds. Then data=6.2.



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### Encoding of Features and Rules

- Four parameters are encoded into blocks. Each block is a feature with values 0-7.
- A rule has 1 block for each of 12 features followed at end by a marker indicating type of attack.

010	011	100	101		001	100	101	111	Attack
a=2	b=3	c=4	d=5		a=1	b=4	c=5	d=7	
Block 1				Block 12			Туре		

Figure : Based on [Jongsuebsuk et al., 2013]

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# Encoding of Features and Rules





Degree of certainty is computed for each of the 12 blocks, if sum of those is greater than a threshold, declared as an attack.

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  - GA Overview
  - Mutation and Crossover
  - Selection and Fitness
- Experiments and Results

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# **Genetic Algorithms**

- GAs: search technique used to find solutions to problems.
- Possible solutions to problems: represented in a variety of problem dependent ways.
  - IDS rules are represented as bit strings.
- A randomly generated population of potential solutions is created. Mutation, crossover, selection are applied to each generation until acceptable solution is found or time limit is exceeded.



### Mutation

Mutation: random bits in an individual, or possible solution, are randomly changed. Mutation takes bits of rule and changes them to form slightly different rule.



After mutation: transition from c to d is more gradual.

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#### Crossover

- Crossover: two individuals swap sequences of bits to form two new individuals.
- In IDS: crossover takes 2 rules and creates new rules by swapping bits of old rules.



#### Crossover



# Selection and Fitness

- Selection: individuals that have better fitness are chosen to be parents.
- Fitness of individual is specified by fitness function, which determines quality of particular individual.
- In an IDS: fitness measures how well a rule classifies records as either attacks or normal activity. Selection combined with fitness function directs search towards effective solution.



The fitness function to be maximized is:

$$\frac{\alpha}{A} - \frac{\beta}{B}$$

- $\alpha$ : # of attack records correctly identified as attack.
- A: # of attack records.
- $\beta$ : # of normal records incorrectly classified as attack.
- B: # of normal records.

Best possible value of  $\beta$  is 0. It's good if  $\alpha = A$ . Best possible fitness value is 1. Overview Intrusion Detection Fuzzy Classification Genetic Algorithms conclusions References

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- 4 Experiments and Results
  - Two Experiments using Only RLD09
  - Three Experiments using Both RLD09 and KDD99



# Experiments Using Only RLD09

#### Experiment 1

- Fuzzy GA was used to create DoS and probe detection rules.
- Both rules were then used together in testing process to identify attacks from testing data set.
- If record is classified as either DoS rule or Probe rule, it is classified as attack; else normal.
- Training set: 10,000 records. Test set: 26,500 records.

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# Experiments Using Only RLD09

#### Experiment 1 Results

	Attack	Normal	Total	FP(%)	FN(%)	DR(%)
DoS Training	1499	8501	10000	1.46	47.50	91.64
Probe Training	2496	7504	10000	1.83	15.38	94.79
Testing	10500	16000	26500	1.13	4.10	97.92

Testing has:

- Both DoS and Probe. If it sees either type of attack, it just classifies it as an attack.
- Higher DR: IDS is more likely to classify attacks because it can match either attack type.
- Lower FN: IDS is less likely to predict that it's not an attack when it really is.

# Experiments Using Only RLD09

#### Experiment 2

- Attacks pulled out of training set, kept for unknown data testing, to test that fuzzy GA could detect unknown attacks.
- Fuzzy GA and decision tree algorithm, which is another common algorithm for classification problems.
- 7 tests were run. Each test case: 13 attack types plus normal activity that were in training set.
  3 attack types used for unknown testing data set.
- Anomaly-based detection

# Experiments Using Only RLD09

Experiment 2 Results (7 tests were run in total, 5 are shown here.)

Test	Unknown	Decision	Fuzzy
Case	Attacks	Tree DR (%)	Genetic DR (%)
1	Adv Port Scan (Probe)	Avg =	Avg =
	Ack Scan (Probe)	98.33	100
	Xmas Tree (Probe)		
2	UDP Flood (DoS)	Avg =	Avg =
	Host Scan (Probe)	46.65	99.80
	UDP Scan (Probe)		
3	Jping (DoS)	Avg =	Avg =
	Syn Scan (Probe)	99.70	98.75
	Fin Scan (Probe)		
4	UDP Flood (DoS)	Avg =	Avg =
	RCP Scan (Probe)	70.35	98.15
	Fin Scan (Probe)		
5	Http Flood (DoS)	Avg =	Avg =
	RCP Scan (Probe)	99.94	97.50
	Fin Scan (Probe)		

# Experiments Using Both RLD09 and KDD99

Three experiments used both RLD09 and KDD99.

Experiment 1 - Used fuzzy GA to classify normal activity and attacks from KDD99 and RLD09.

Data set	Attack	Normal	FP (%)	FN (%)	DR (%)
KDD99	160,117	39,337	0.13	1.55	98.72
RLD09	10,500	16,000	1.14	3.39	97.97

# Experiments Using Both RLD09 and KDD99

#### Experiment 2

- Used the fuzzy GA to classify types of attacks in KDD99.
- 10 tests were run in total, 5 are shown here.

Test	Attack	Туре	FP (%)	FN (%)	DR (%)
1	Back	DoS	85.33	0.00	16.56
2	PoD	DoS	84.66	0.00	15.58
3	Smurf	DoS	0.76	0.10	99.73
4	Portsweep	Probe	6.40	0.00	93.66
5	Satan	Probe	0.74	3.75	99.22

 8 test cases had DR greater than 93%. Only 2 cases had low DR, (cases 1 and 2).

# Experiments Using Both RLD09 and KDD99

Experiment 3

- Used the fuzzy GA to classify types of attacks in RLD09.
- 17 tests were run in total, 6 are shown here.

Test	Attack	Туре	FP (%)	FN (%)	DR (%)
1	HTTP Flood	DoS	0.36	3.5	99.46
2	Smurf	DoS	0.02	0	99.98
3	UDP Flood	DoS	11.06	0	89.59
4	Fin Scan	Probe	2.58	0	97.50
5	IP Scan	Probe	13.01	16.4	86.89
6	Syn Scan	Probe	0.65	4.2	99.24

 15 cases had DR greater than 97%. 2 cases had lower DR, (cases 3 and 5).

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### Conclusions

- The fuzzy genetic algorithm had a higher detection rate than a decision tree algorithm in most cases.
- Fuzzy genetic algorithms are good at detecting unknown attacks.
  - Fuzzy GA DR: 99.8%, Decision Tree DR: 46.7%
- The use of fuzzy genetic algorithms in intrusion detection is an effective way of detecting attacks - DR in all experiments was in the high 90s.

### Thanks!

#### Thank you for your time and attention!

Questions?

### References

Jongsuebsuk, P. and Wattanapongsakorn, N. and Charnsripinyo, C.

Network intrusion detection with Fuzzy Genetic Algorithm for unknown attacks.

In *2013 International Conference on Information Networking (ICOIN)*, pages 1-5, 2013.

Jongsuebsuk, P. and Wattanapongsakorn, N. and Charnsripinyo, C.

Real-time intrusion detection with fuzzy genetic algorithm. In 2013 10th International Conference on Electrical Engineering/Electronics, Computer, Telecommunications and Information Technology (ECTI-CON), pages 1-6, 2013.

See my Senior Seminar paper for additional references.



## **DoS Attacks**

- Back: Attacker submits requests with URL's containing many front slashes. As server tries to process these requests it will slow down, becomes unable to process other requests.
- PoD: involves sending a malformed/malicious ping to computer. Historically, many systems could not handle a ping packet larger than the maximum IPv4 packet size (65,535 bytes). Sending a ping of this size could crash the target computer.



### **Decision** Tree



Figure : From http://tinyurl.com/louy9s3

### Features of KDD99

- src\_bytes: number of bytes sent from source to destination. Source is user who may or may not be attacker, destination is server being potentially attacked.
- serror\_rate: percentage of connections that have "SYN" errors. When client attempts to connect to server, it first sends a SYN (synchronize) message to server. The server then acknowledges the request by sending a SYN-ACK to client. The connection is established when client sends an ACK back to server. A SYN error is a failure to get an ACK back.