

EXPLORING CHESS VARIANTS WITH ALPHA ZERO

CONNER HETTINGER

THE STUDY

Assessing Game Balance with AlphaZero: Exploring Alternative Rule Sets in Chess

Authors:

- **Nenad Tomašev**
- **Ulrich Paquet**
- **Demis Hassabis**
 - **DeepMind**
- **Vladimir Kramnik**
 - **World chess champion
2000 - 2007**

Chess Engine:

- **AlphaZero**



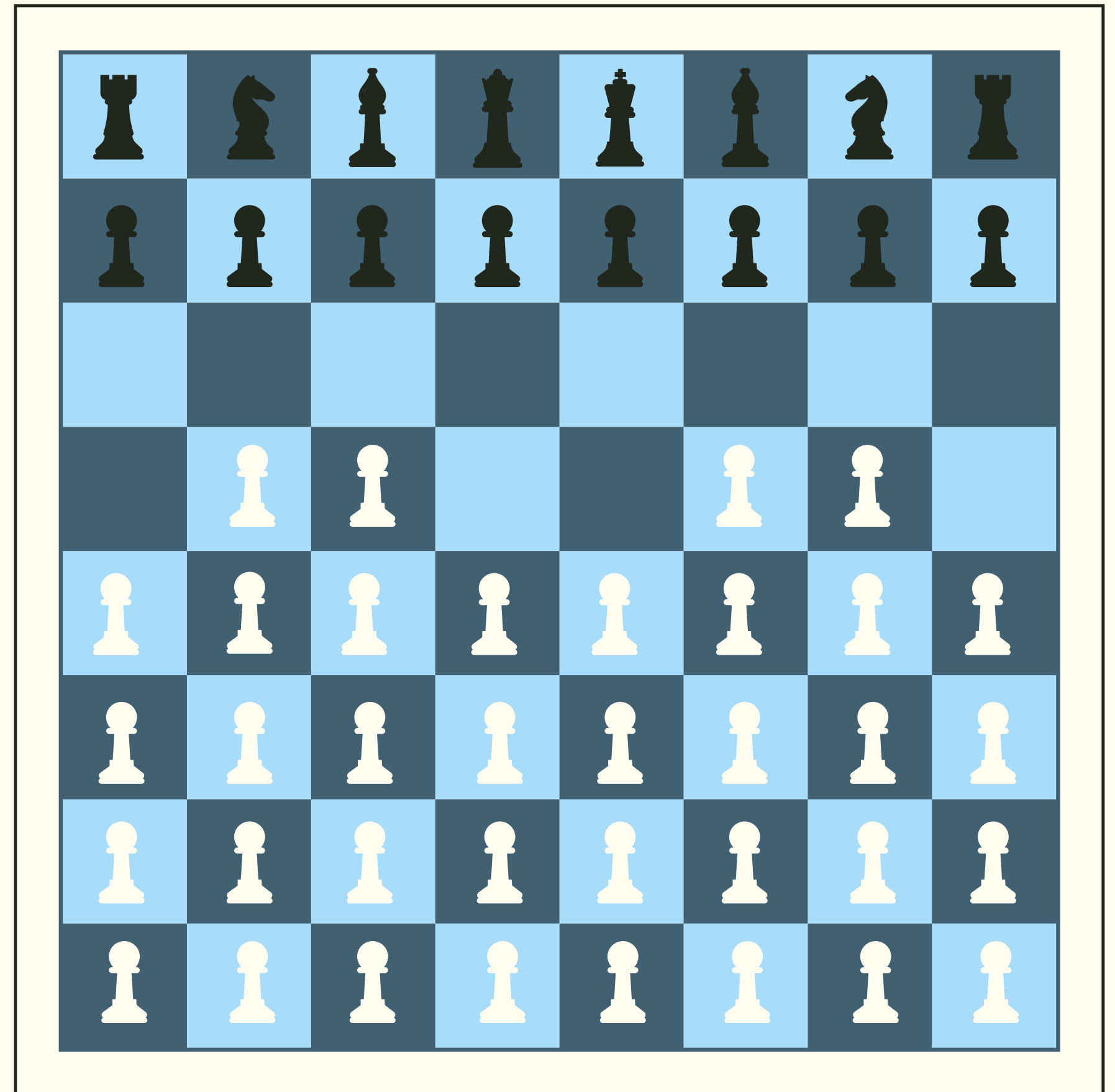
<https://www.extremetech.com/extreme/260215-alphazero-new-chess-champion-harbinger-brave-new-world-ai>

WHAT IS A VARIANT?

A chess variant is a version of chess where the original rules have been altered in some way.

In this study we will explore versions of chess with 1-2 rule changes. We don't consider changes that alter:

- Starting position
- The pieces
- The board





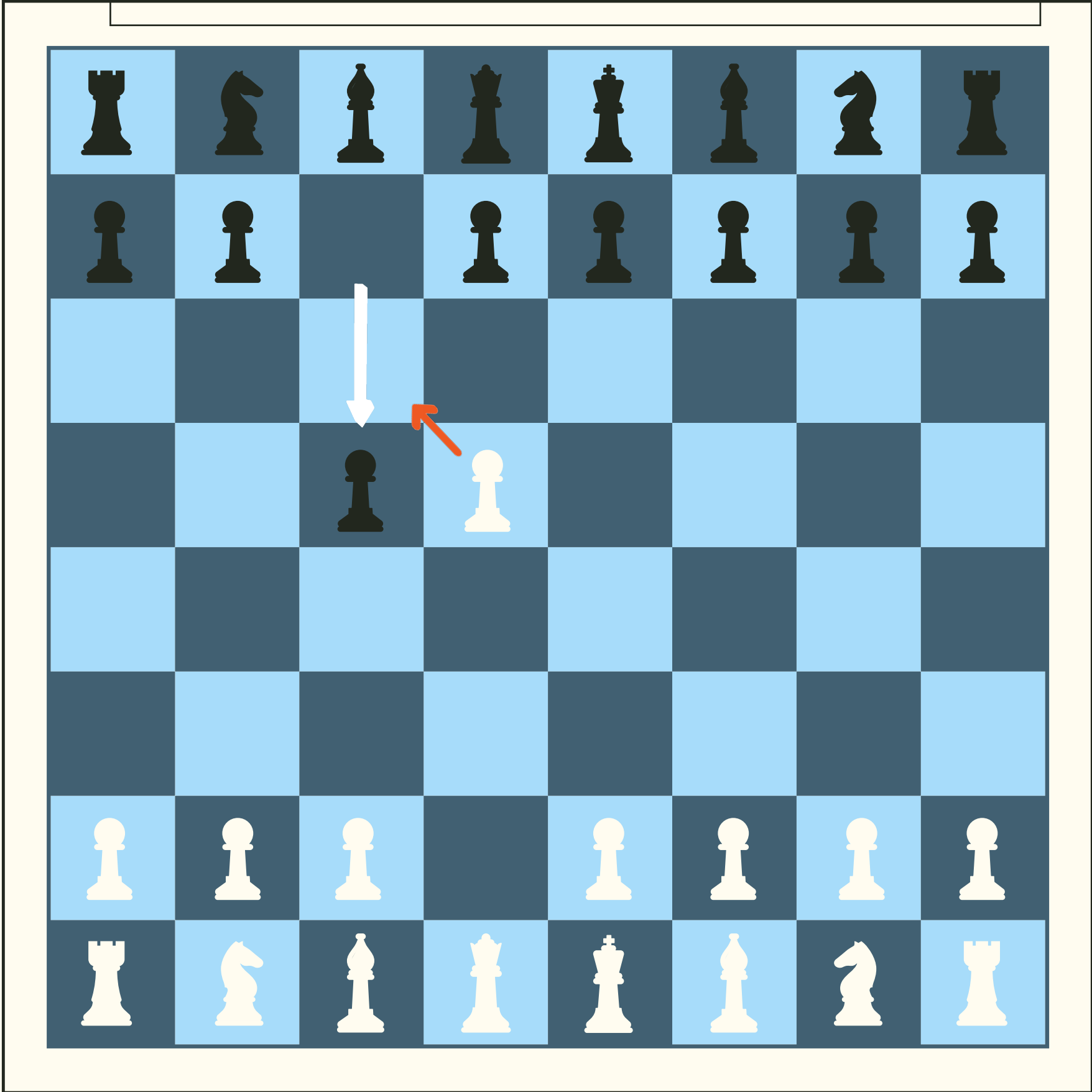
IN THIS TALK

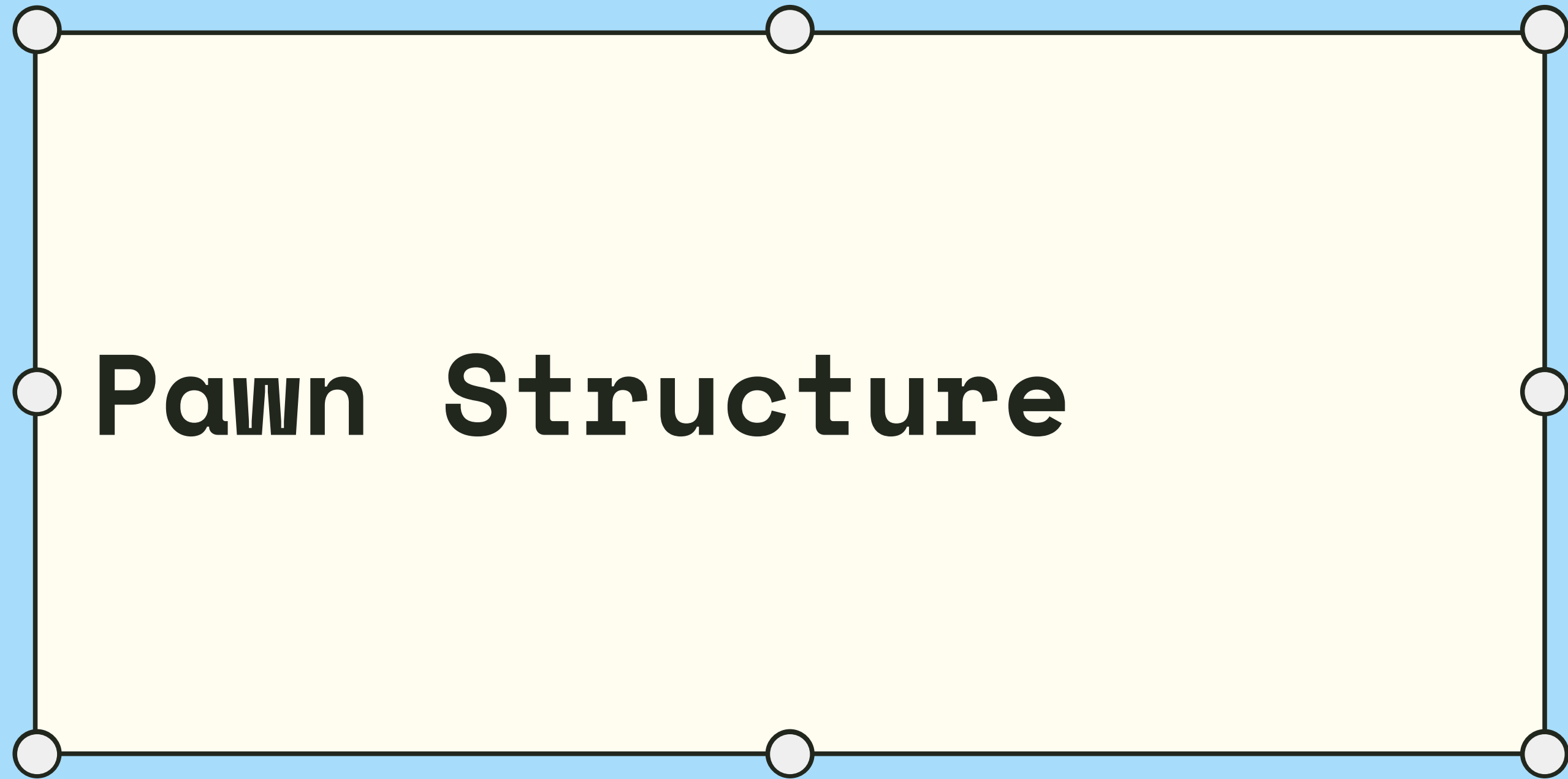
- **Chess Ideas**
- **Alpha Zero**
 - **Monte Carlo Tree Search**
 - **Deep Neural Networks**



Special Chess Move

EN PASSANT





Pawn Structure

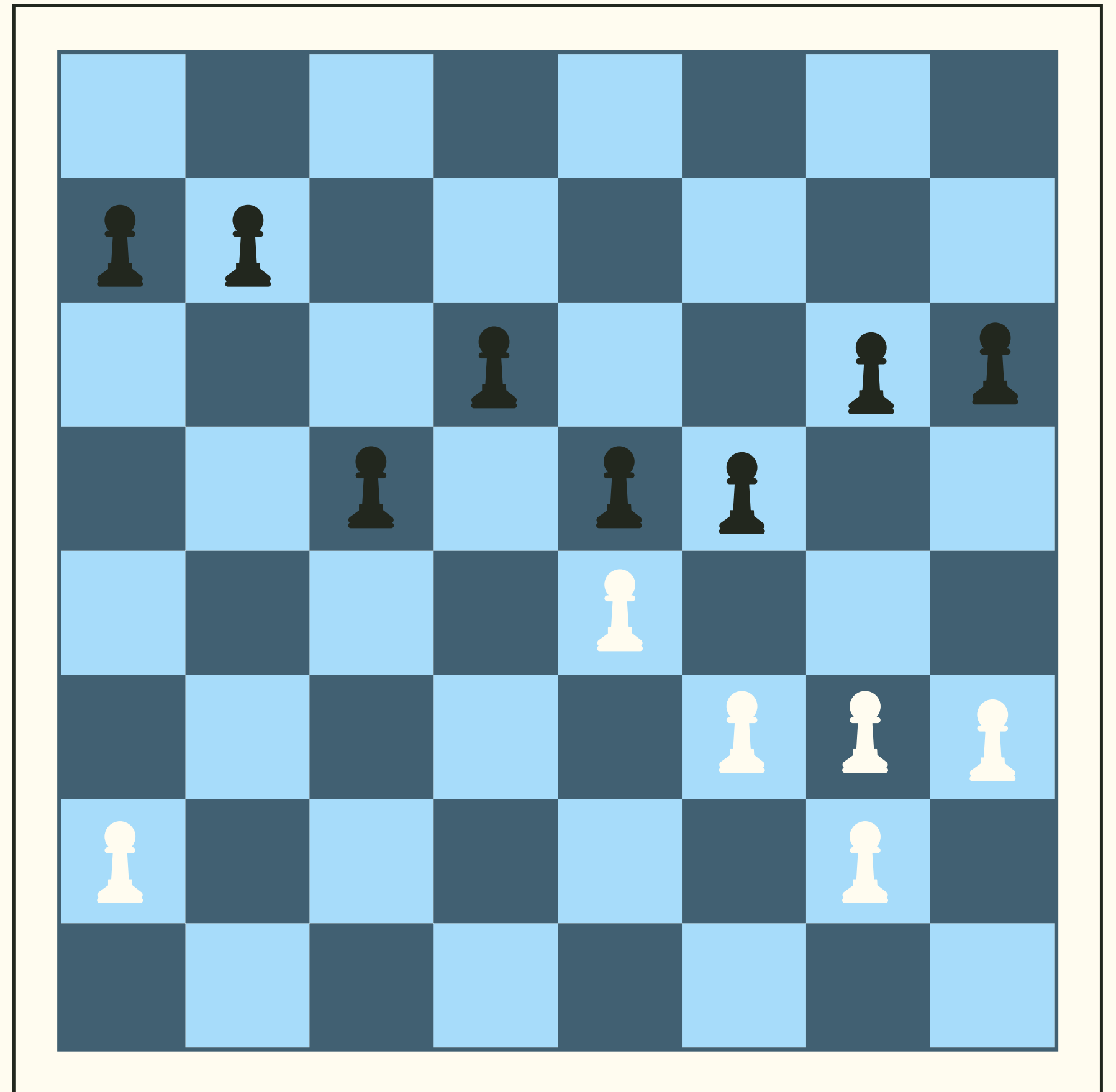
PAWN WEAKNESSES

Types of weaknesses

- Isolated pawn
- Holes
- Backwards pawn
- Doubled pawn

Strength:

- Passed pawn





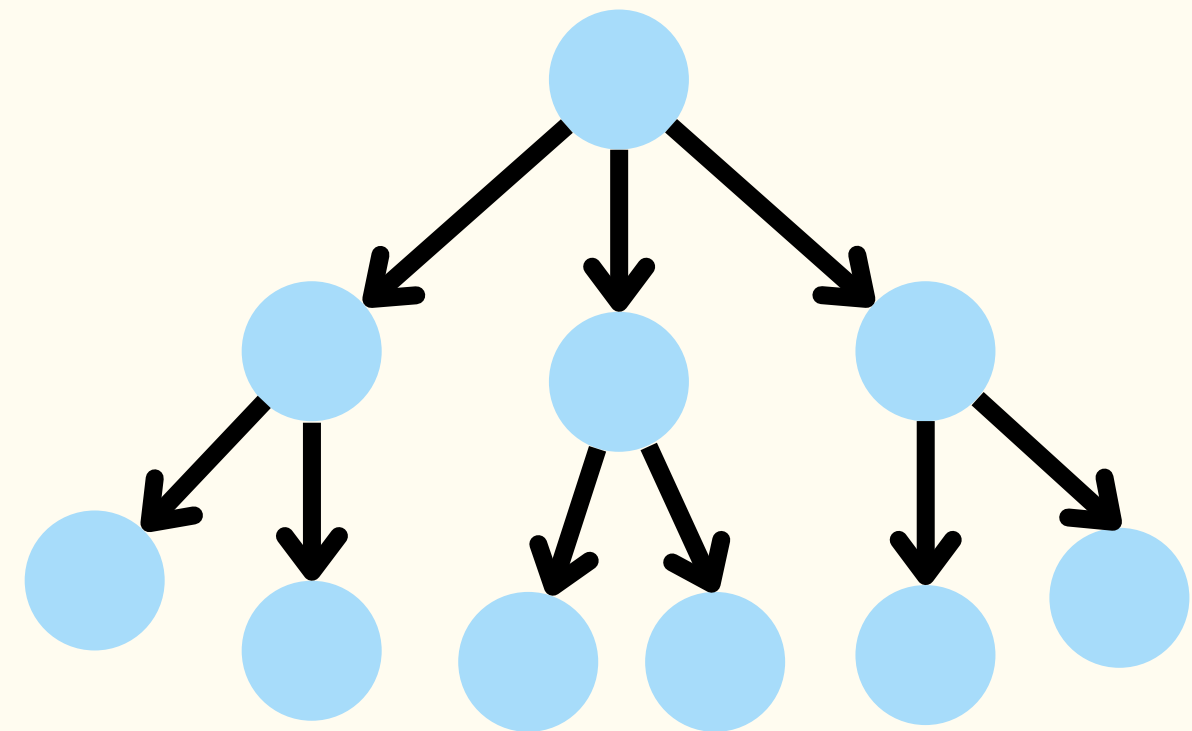
MONTE CARLO TREE SEARCH (MCTS)

MCTS

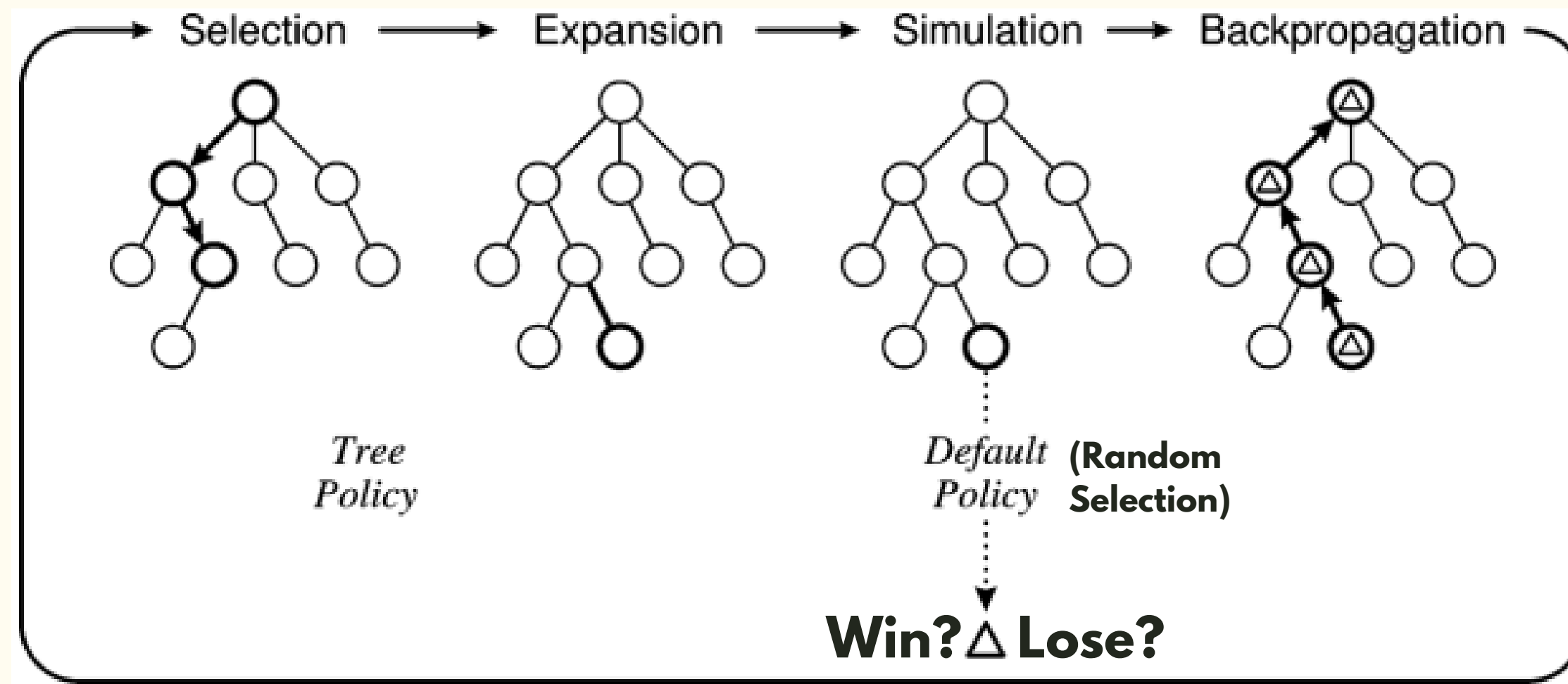
Two principles:

- The value of an action can be approximated using random simulation
- These values can be used to adjust some policy to choose the best action in a given state.

Procedurally generates a tree based on these two principles



FOUR MAIN STEPS



<http://www.incompleteideas.net/609%20dropbox/other%20readings%20and%20resources/MCTS-survey.pdf>

- **Selection:** Choosing some "best" move based on some strategy
- **Expansion:** Expanding on the chosen move
- **Simulation:** Playing random moves, giving value
- **Backpropagation:** Spread value



DEEP NEURAL NETWORKS

- **Feedforward**
- **Convolutional**
- **Residual**



Feed Forward

FEED FORWARD NETWORK

Three sections:

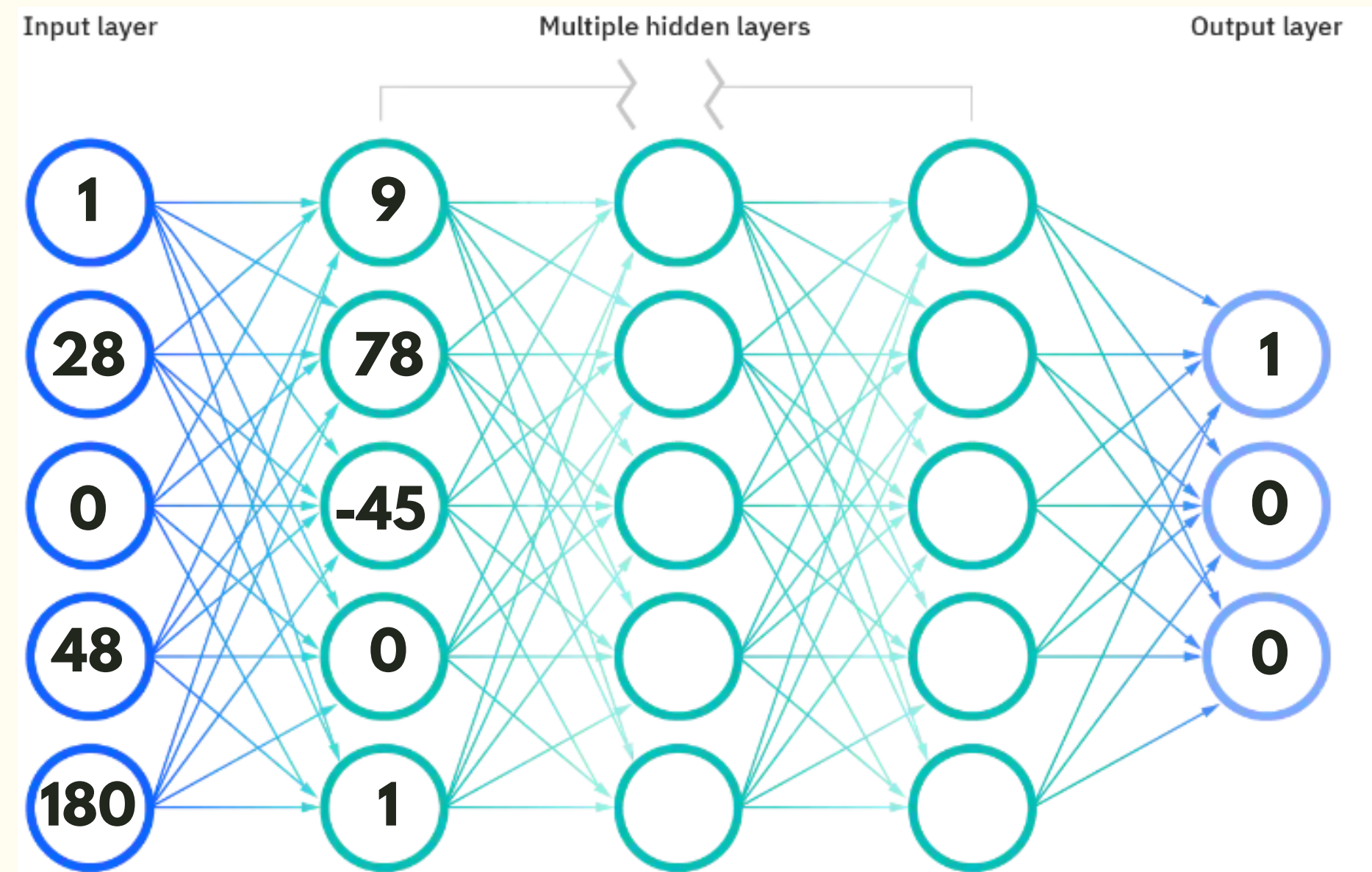
- Input layer
- Hidden layers
- Output layer

Node parts:

- Input/Output edges (with weights)
- Activation function
 - ReLu:
 - Maps negatives to 0

How does it learn?

- Training
- Loss function
- Changing weights



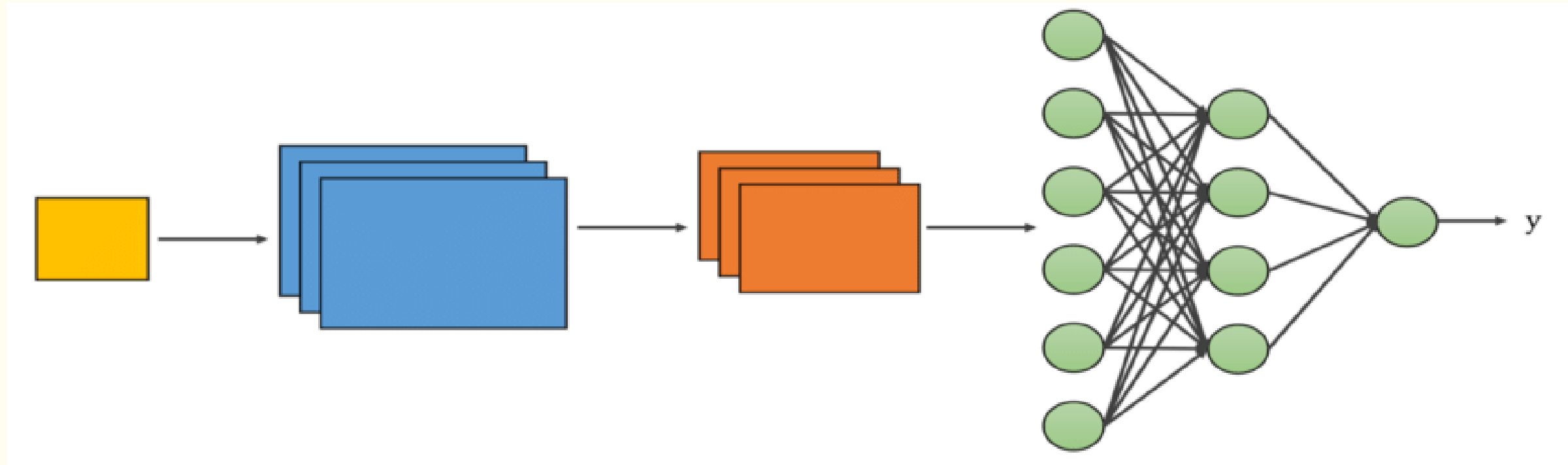
<https://www.ibm.com/cloud/learn/neural-networks>



Convolutional

CONVOLUTIONAL NETWORK

<https://medium.com/swlh/an-overview-on-convolutional-neural-networks-ea48e76fb186>



Five kinds
of layers:

Input

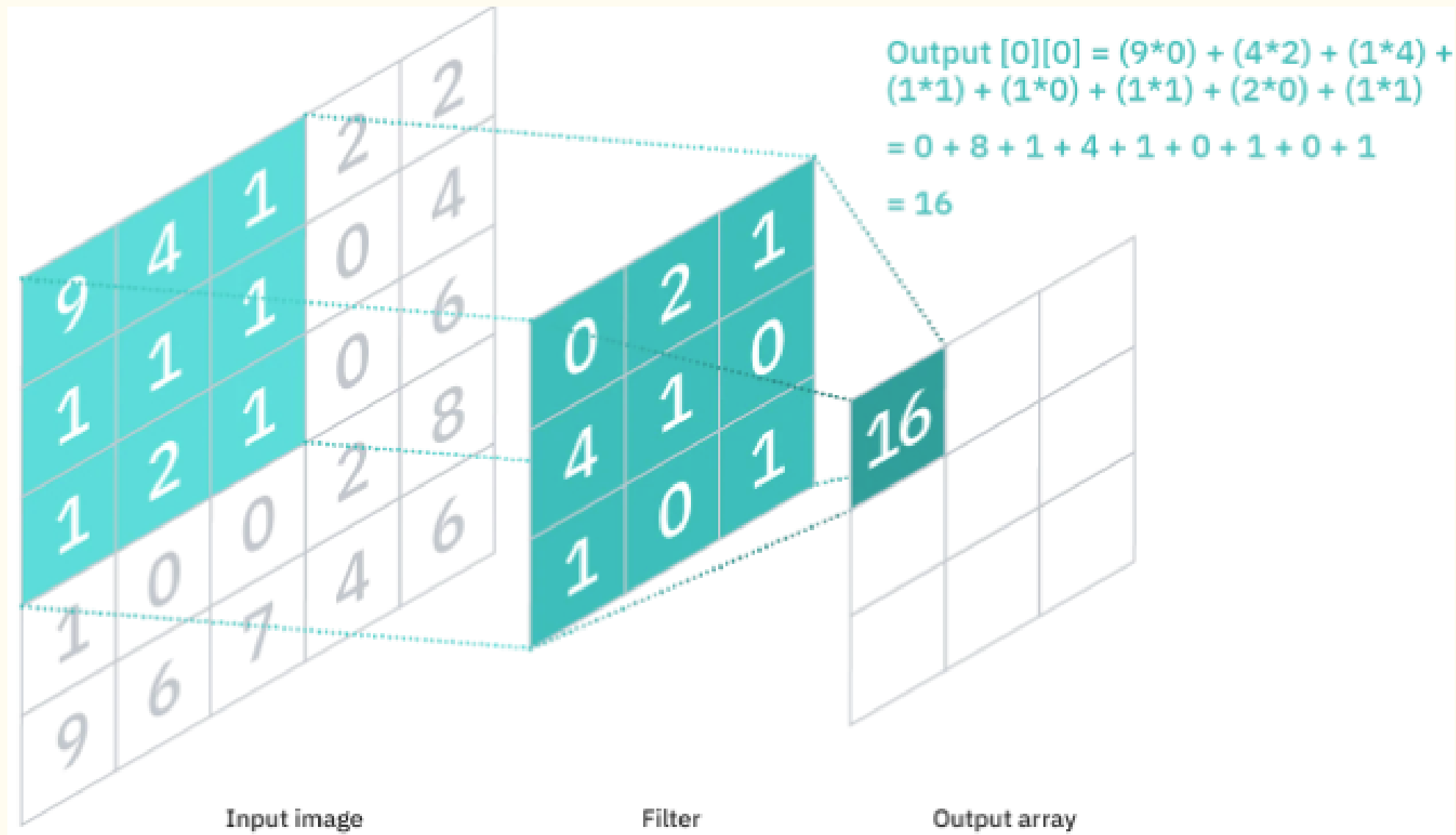
Convolutional

Pooling

**Fully
Connected**

Output

CONVOLUTIONAL LAYER



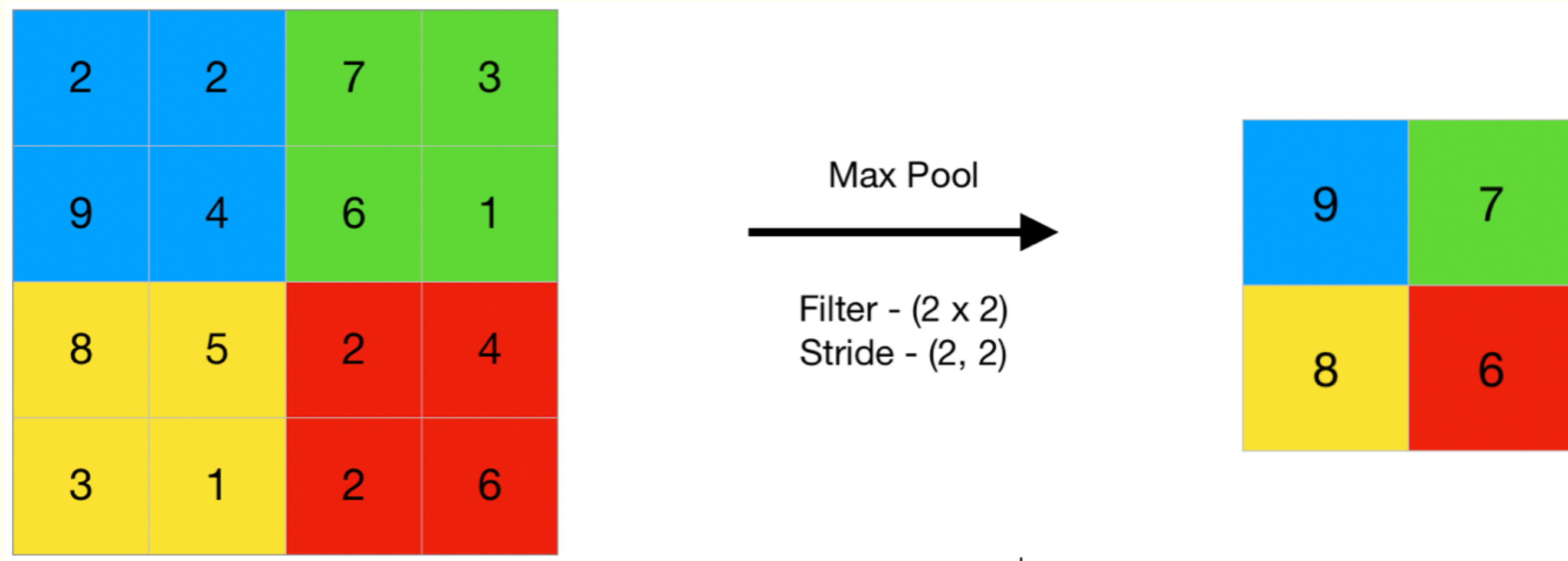
<https://www.ibm.com/cloud/learn/convolutional-neural-networks>

Three sections:

- Input image
- Filter
- Output array

The filter scans the image looking for some pattern or feature using the same concept as a feedforward network.

POOLING LAYER

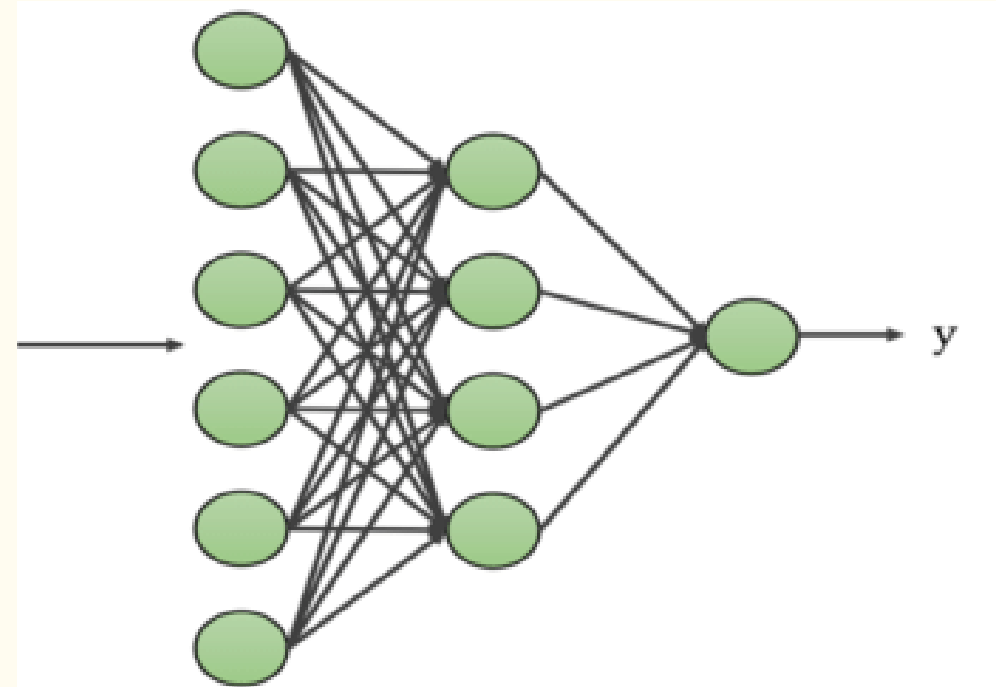


<https://www.geeksforgeeks.org/cnn-introduction-to-pooling-layer/>

Three parts:

- **Input (output from conv. layer)**
- **Aggregation function**
- **Output layer**

FULLY CONNECTED LAYER



<https://medium.com/swlh/an-overview-on-convolutional-neural-networks-ea48e76fb186>

Like a feedforward network:

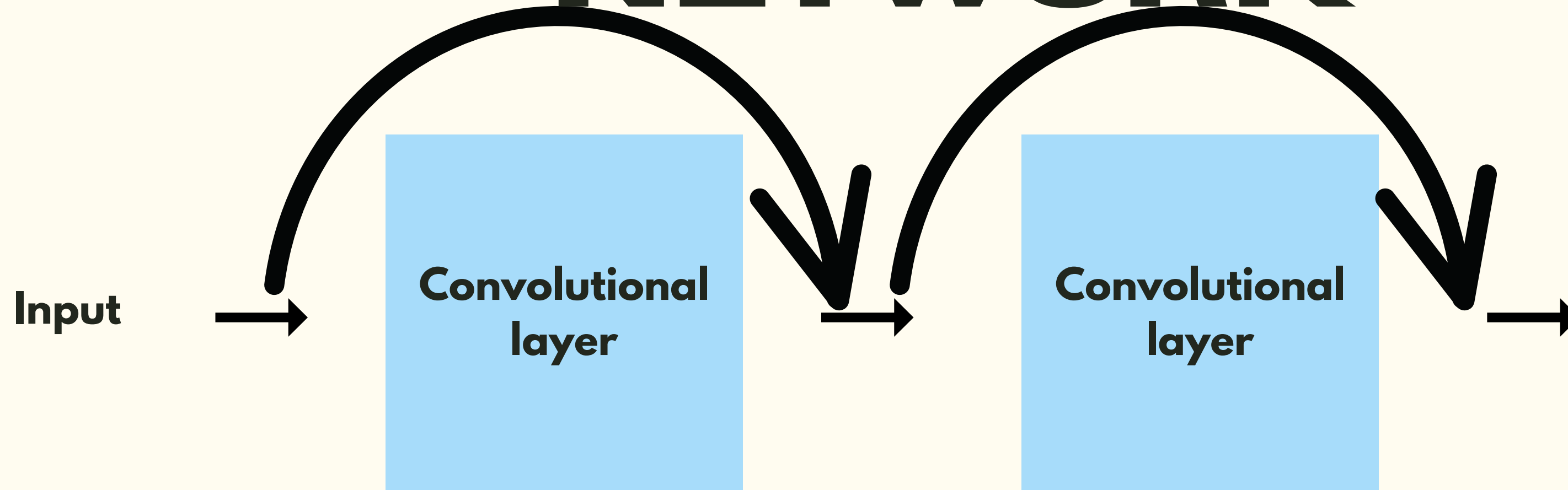
- **Every node connected to next layer**
- **Activation functions**
- **Output has some classification for each node**

All other layers are partially connected to the output



Residual

RESIDUAL NETWORK



- **Adds complexity**
- **Doesn't increase computation as much**



ALPHA ZERO

ALPHA ZERO

AlphaZero:

- MCTS
- Residual Network

Residual Network:

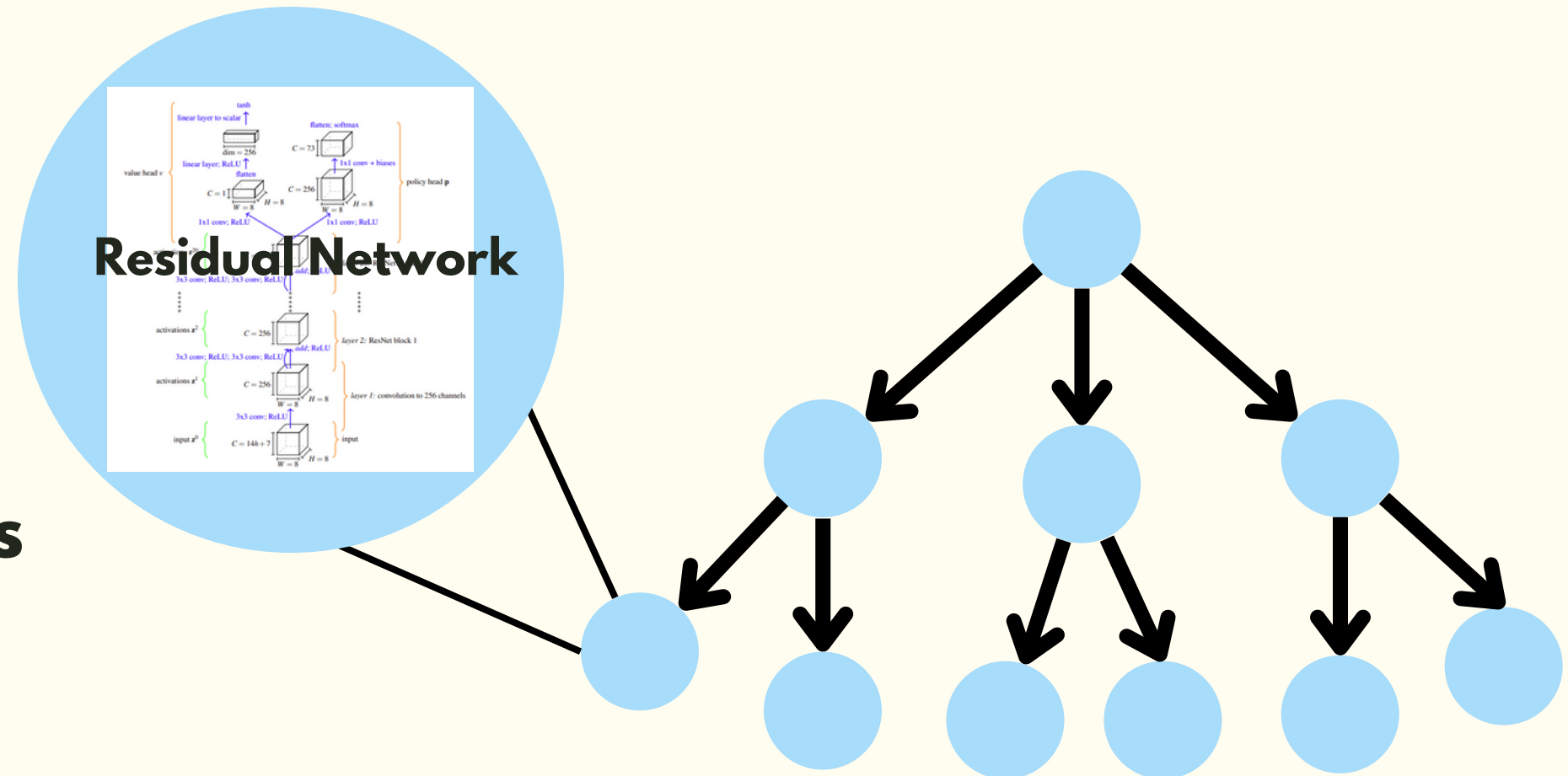
- Outputs:
 - Vector of move probabilities
 - Expected outcome

MCTS:

- Uses vector for selection
- Uses expected outcome for simulation

Training:

- Self play
- Doesn't change weights till the end





METHODS

- **Variants**
- **Training**

VARIANTS

This is a list of all the variants used in the study.

For this presentation I will cover Pawn-sideways and self-capture chess.



Variant	Primary rule change	Secondary rule change
No-castling	Castling is disallowed throughout the game	-
No-castling (10)	Castling is disallowed for the first 10 moves (20 plies)	-
Pawn one square	Pawns can only move by one square	-
Stalemate=win	Forcing stalemate is a win rather than a draw	-
Torpedo	Pawns can move by 1 or 2 squares anywhere on the board. En passant can consequently happen anywhere on the board.	-
Semi-torpedo	Pawns can move by two square both from the 2nd and the 3rd rank	-
Pawn-back	Pawns can move backwards by one square, but only back to the 2nd/7th rank for White/Black	Pawn moves do not count towards the 50 move rule
Pawn-sideways	Pawns can also move laterally by one square. Captures are unchanged, diagonally upwards	Sideway pawn moves do not count towards the 50 move rule
Self-capture	It is possible to capture one's own pieces	-

TRAINING

- **For each variation AlphaZero starts with the same set of hyperparameters each time**
- **The models were trained for 1 million training steps**
- **To encourage exploration, during training noise is injected into the prior move probabilities.**



RESULTS

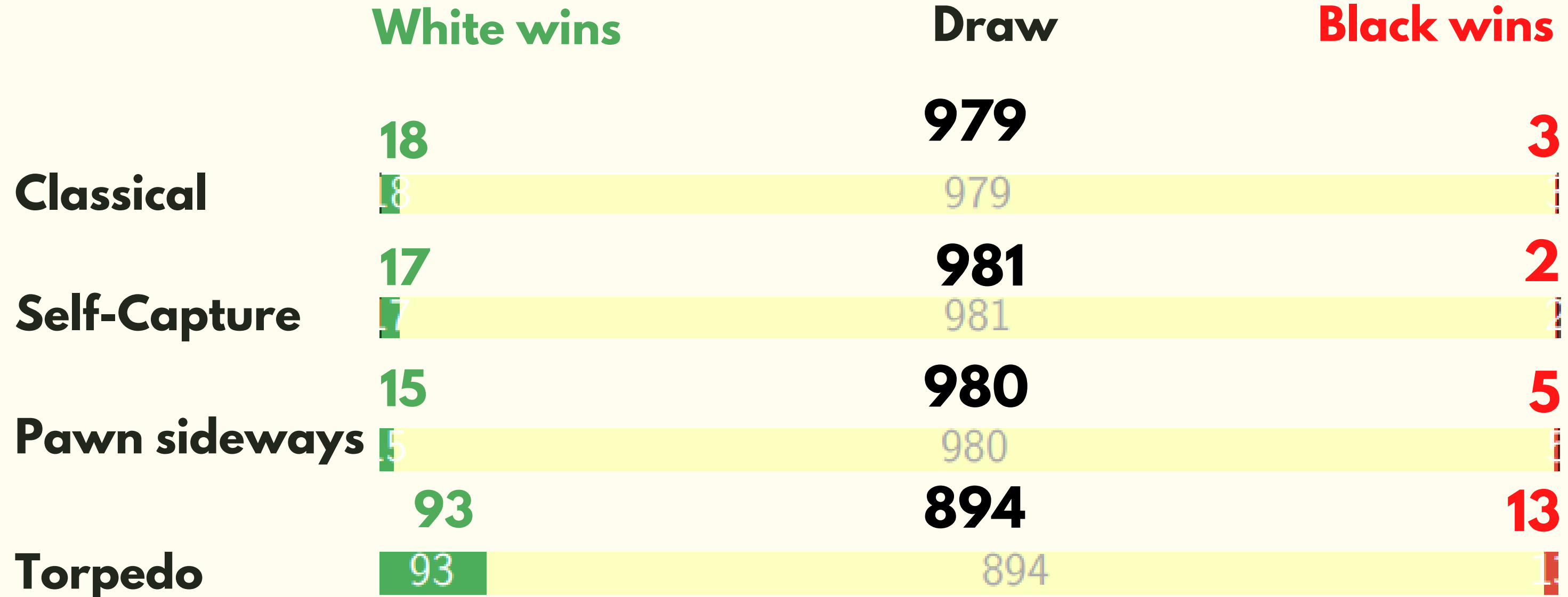
- **10,000 games at 1 second per move**
- **1,000 games played at 1 minute per move**

TEST WITH 10,000 GAMES WITH 1 SECOND MOVES

	White wins	Draw	Black wins
Classical	772	8820	409
Self-Capture	871	8783	346
Pawn sideways	872	8815	313
Torpedo	2086	7191	723

- Draw rates are always fairly high in high-level chess
- White typically has an advantage
- The 1 second time limit on moves makes each game more decisive which showcases the white side advantage more.

TEST WITH 1,000 GAMES WITH 1 MINUTE MOVES



- With 1 minute moves the games become a lot less decisive
- White side advantage still exists, but becomes more subtle
- Draw rates increase



ANALYSIS

ANALYSIS

BY VLADIMIR KRAMNIK

Torpedo Chess:

- **Aggressive**
- **More decisive**
- **Passed pawns are valuable**
- **En passant becomes more common**

Pawn-sideways chess:

- **Complex**
- **New strategies**
- **Hard to analyze**
- **Open vs Closed pawn structures**

Self-capture chess:

- **Not much changed**
- **Rare for self-captures to happen**
- **Some self-captures are for exploration**



CONCLUSION



QUESTIONS

WORKS CITED

Nenad Tomasev, Ulrich Paquet, Demis Hassabis, Vladimir Kramnik: Assessing Game Balance with AlphaZero: Exploring Alternative Rule Sets in Chess. <https://arxiv.org/abs/2009.04374> (2020)

McGrath, Thomas et al. “Acquisition of Chess Knowledge in AlphaZero.” ArXiv abs/2111.09259 (2021): n. Pag

Michael C. Fu. 2018. Monte Carlo tree search: a tutorial. In Proceedings of the 2018 Winter Simulation Conference (WSC '18). IEEE Press, 222–236.